



# Retirement Services Intermediaries 10

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May 2016

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## Methodology

- \* **600 advisors deriving fee or commission income from 401(k) plans**
- \* **Conducted by telephone January 4, 2016 to April 4, 2016.**
- \* **Advisors screened from random samples of registered reps, insurance-based producers and RIAs**
- \* **Projects to approximately 12,000 to 15,000 advisors nationally**
- \* **10<sup>th</sup> study in a series beginning in 2000**